

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	JPW0002
	First Inventor	Jonathan P. Williams
	Title	BUILDING FOUNDATION WITH UNIQUE SLAB AND WALL ASSEMBLY, EXTERNAL SUMP, AND VOID RETENTION DAM
	Express Mail Label No.	EV322527830US

22389 U.S. PTO
10/659872
09/11/03

APPLICATION ELEMENTS	Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification [total pages <u>17</u>] (preferred Arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) [total sheets <u>4</u>] 5. <input checked="" type="checkbox"/> Oath or Declaration [total sheets <u>2</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d)) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76) 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (coversheet/document(s))	
10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document	
12. <input type="checkbox"/> IDS & Form 1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s)	
16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other:	

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: /

Prior application information: Examiner: Group/Art Unit:

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label 25235			or <input type="checkbox"/> Correspondence address below		
Name					
Address					
City		State		ZIP	
Country		Telephon		Fax	

Name (Print/Type)	Kent A. Lembke	Registration No.	44,866
(Signature)	<i>Kent A. Lembke</i>	Date	9/11/03

09/11/03
17691 U.S. PTO

FEE TRANSMITTAL for FY 2003

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) (\$375.00)

Application Number
Filing Date Herewith
First Named Inventor Jonathan P. Williams
Examiner Name
Group / Art Unit
Attorney Docket No. JPW0002

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

50-1123

Deposit
Account
Name

Hogan & Hartson L.L.P.

☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17

☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001 750	2001 375	Utility Filing Fee	375.00
1006 330	2006 165	Design filing fee	
1007 520	2007 260	Plant filing fee	
1008 750	2008 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$375.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20**= 0	X	0
3	-3**= 0	X	0
Independent Claims			0
Multiple Dependent			0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	**Reissue independent claims over original patent
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 410	216 205	Extension for reply within second month	
117 930	217 465	Extension for reply within third month	
118 1,450	218 725	Extension for reply within fourth month	
128 1,970	228 985	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,300	241 650	Petition to revive - unintentional	
142 1,300	242 650	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 630	244 315	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 750	246 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 750	249 375	For each additional invention to be examined (37 CFR § 1.129(b))	
179 750	279 375	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$0.00)

SUBMITTED BY Complete (if applicable)

Name (Print/Type) Kent A. Lembke
Signature

Registration No. (Attorney/Agent) 44,866

Telephone (720) 406-5378

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jonathan P. Williams

Serial No. _____

Filed: Herewith

For: BUILDING FOUNDATION WITH
UNIQUE SLAB AND WALL
ASSEMBLY, EXTERNAL SUMP,
AND VOID RETENTION DAM

Group Art Unit:

Examiner:

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Commissioner:

The undersigned hereby certifies that the following documents:


- Utility Patent Application Transmittal;
- Utility Patent Application [Specification (13 pages); Claims (3 pages); Abstract (1 page); Drawings (4 sheets)];
- Declaration (executed);
- Fee Transmittal with check in the amount of \$375.00;
- Certificate of Mailing by Express Mail; and
- Return Receipt Postcard.

relating to the above application, were deposited as "Express Mail", Mailing Label No. EV322527830US, with the United States Postal Service, addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, PO Box 1450, Alexandria VA, 22313-1450, September 11, 2003.

9/11/03
Date

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Date


Mailer


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